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I hereby certify that this correspondence and the papers referred to herein as attached are being transmitted to the United States Patent and Trademark Office via facsimile to (571) 273-8300, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 8, 2007.

Signed: \_\_\_\_\_

Sylvia Castillo

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: INFORMATION DISCLOSURE STATEMENT

Pages including coversheet: 03

FAX No.: (571) 273-8300

Phone No.:

From: Albert S. Penilla, Esq.  
Martine Penilla & Gencarella, LLP

Phone No.: (408) 774-6903

Fax No.: (408) 749-6901

Application No. : 10/817,355  
Applicant: : KOROLIK et al.  
Filed: : April 1, 2004  
Title: : SUBSTRATE PROXIMITY PROCESSING STRUCTURES AND  
: METHODS FOR USING AND MAKING THE SAME  
Group Art Unit : 1746  
Examiner : Unassigned  
Atty. Docket No. : LAM2P472  
Date : February 8, 2007

*Attached to this facsimile coversheet are the following documents:*

- Information Disclosure Statement
- Form 1449

*Please acknowledge receipt of this communication promptly via facsimile to (408) 749-6901, and direct all confirmations and communications directly to Albert S. Penilla, Esq.*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Carl WOODS et al.

Application No.: 10/817,355

Filed: April 1, 2004

For: SUBSTRATE PROXIMITY PROCESSING  
STRUCTURES AND METHODS FOR USING  
AND MAKING THE SAME

)  
) Group Art Unit: 1765  
)  
) Examiner: Unassigned  
)  
) Atty. Docket No. LAM2P472  
)  
) Date: February 8, 2007

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Sylvia Castillo

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to consider these references and to acknowledge such consideration by initialing the appropriate location on the attached PTO Form 1449.

This Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This IDS is believed to be filed before the mailing date of a first Office Action. Accordingly, it is believed that no fees are due in connection with the filing of this IDS. However, if it is determined that any fees are due, then the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No. LAM2P472).

Respectfully submitted,  
MARTINE PENILLA & GENCARELLA, LLP

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PTO/SB/08A(08-03)

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/817,355	
			Filing Date	April 1, 2004	
			First Named Inventor	WOODS et al.	
			Art Unit	1765	
			Examiner Name	Unassigned	
Sheet	1	of	1	Attorney Docket Number	LAM2P472

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## U.S. Patent Documents

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A	6,446,358	09/10/02	Mitsumori et al.	
	B	6,629,540	10/07/03	Mitsumori et al.	
	C				
	D				
	E				
	F				
	G				
	H				

## Foreign Patent Documents

Examiner Initial	Cite No. <sup>1</sup>	Foreign Patent Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number- <sup>4</sup> Kind Code (if known)				
	I					<input type="checkbox"/>
	J					<input type="checkbox"/>
	K					<input type="checkbox"/>
	L					<input type="checkbox"/>
	M					<input type="checkbox"/>

## Non Patent Literature Documents

Examiner Initial	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
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	O	
	P	
	Q	

Examiner Signature		Date Considered	
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Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.